

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
S.C. Allen et al.	)	
Serial No.:	)	
Not Yet Assigned	)	
Filed:	)	Art Unit: Not Yet Assigned
October 17, 2003	)	
For:	)	
METHOD, SYSTEM, AND	)	
PROGRAM FOR DESIGNATING	)	
A STORAGE GROUP	)	
PREFERENCE ORDER	)	

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits; or
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

**STATEMENT UNDER 1.97(e)**

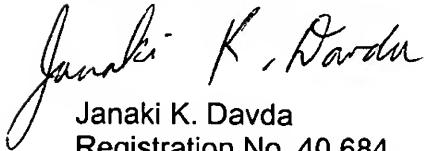
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was

cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0466. A duplicate of this letter is enclosed for fee processing.

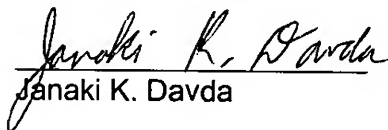
Respectfully submitted,



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Dated: October 17, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service by express mail (#EV326952378US) in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450 on October 17, 2003.

  
Janaki K. Davda

10/17/03  
(Date)

Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	Not Yet Assigned
				Filing Date	October 17, 2003
				First Named Inventor	S.C. Allen et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Page	1	of	2	Attorney Docket Number	SJO920020109US1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-4,555,771	11-26-1985	Hayashi	
		US-5,018,060	05-21-1991	Gelb et al.	
		US-5,129,088	07-07-1992	Auslander et al.	
		US-5,471,582	11-28-1995	Kiriū	
		US-5,491,810	02-13-1996	Allen	
		US-5,659,704	08-19-1997	Burkes et al.	
		US-5,784,646	07-21-1998	Sawada	
		US-5,790,886	08-04-1998	Allen	
		US-6,065,019	05-16-2000	Ault et al.	
		US-6,256,642	07-03-2001	Krueger et al.	
		US-6,330,652	12-11-2001	Robb	
		US-6,335,746	01-01-2002	Enokida et al.	
		US-6,336,120	01-01-2002	Noddings et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				
		JP-2199560	08-07-1990	Noriko et al.		
		JP-5307532	11-19-1993	Masaharu et al.		
		JP-6161784	06-10-1994	Yasunori et al.		

